



UBS AG  
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OQ9C / O5GC  
C/A Special Transaction / Default MGMT

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**BY REGISTERED MAIL**

U.S. Bankruptcy Court for the  
Southern District of N.Y.  
One Bowling Green  
New York, N.Y. 10004  
USA



## Message

May 04, 2015

subject **Claim Transfer Agreement Lehman Program Securities**

On behalf of                      Attention: Clerk of the Court  
you are receiving              Evidence of partial transfer of claim

☐ for your information  
☒ for your records  
☐ as agreed  
☐ please complete

☐ returned with thanks  
☐ please comment  
☐ please sign  
☐

☐ please return  
☒ please confirm receipt  
☒ please process

Remarks

TOCE135 CHF 50'000.00 CH0034783636

Transferor: Swissquote Bank SA, Chemin de la Crétaux 33, 1196 Gland (Switzerland)

Claim Number: 55330

Transferee: UBS AG, Bahnhofstrasse 45, CH-8001 Zurich (Switzerland)

Yours sincerely,

UBS AG

Matthias Mohos  
Associate Director






RECEIVED  
MAY 13 2015  
U.S. BANKRUPTCY COURT  
SD DIST OF NEW YORK

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated April 30, 2015.

Swissquote Bank SA  
Transferor

By:   
Name: Anna Cafassi  
Title: Associate Director

By:   
Name:  
Title: Isabella Simões  
Swissquote Bank SA  
Authorized Officer

ACKNOWLEDGED BY:

UBS AG  
Transferee

By:   
Name: Hugo Koller  
Title: Director

By:   
Name: Matthias Mohos  
Title: Associate Director